



THE UNIVERSITY OF
WINNIPEG

Vice-President, Research
and Innovation

**UNIVERSITY ANIMAL CARE COMMITTEE
Adverse/Unanticipated Event Notification Form**

ANIMAL WELFARE OBLIGATION:

- All individuals participating in the University of Winnipeg animal care and use activities are obligated to assure well-being for all animals engaged in such activities.
- If an adverse/unanticipated event occurs, then the individual having knowledge of the event is obligated to report or assure the adverse/unanticipated event has been reported to the University Animal Care Committee.

Purpose of this Form: This form is used to notify the UW UACC of adverse (affecting animal health/wellbeing) or unanticipated (not protocol described) events involving animals.

E-mail completed form to: Vivarium Director: r.cole@uwinnipeg.ca

Report completed by:			
Phone Number		Email	
NOTE: This information is used for follow-up clarification. This information is only released to persons with a clear need to know. All reports are confidential, and information protected.			

Protocol Number			
Protocol Title			
Principal Investigator			
Date of incident		Time of incident	
Date Incident was discovered		Time incident was discovered	
Briefly describe the event involving animals			
Was the vet/animal care staff contacted?	Yes, vet	If yes, when?	
	Yes, animal care staff No	If yes, by what means?	
Briefly describe corrective actions taken to mitigate a similar future occurrence			

Does this incident fit into any of the following?*	
	<p>An event that leads to significant¹ mortality² levels of research, teaching, or testing animals, such as:</p> <ul style="list-style-type: none"> a. Catastrophic failure of critical life support systems or its components (e.g., malfunction of power supply, HVAC, pumps, filters, watering system, alarms, sensors, call-out systems, etc.) b. Disregard of, or unintended failure (human error) to follow practices or procedures (e.g., not following approved standard operating procedures or other established processes, miscommunication, etc.) c. Any other cause of significant mortality levels such as adverse outcomes or unforeseen circumstances
	Serious or continuous noncompliance with CCAC standards that leads to the suspension by the animal care committee or the institution of an animal-based activity threatening animal health or welfare.

¹**Significant** is relative to:

- a) The total number of animals by species, per specific project component/objective within an approved protocol on-site at the time of the incident, if the components/objectives are independent and not interrelated (e.g., component B is not contingent on component A); or
- b) The total number of animals by species within an approved protocol on-site at the time of the incident, if there is only one component/objective in the study, or if the components/objectives are dependent and conditional to one another. This also includes holding and breeding protocols.

² **Mortality** includes animals that are euthanized when they reach humane intervention points and animals that are found dead.

For this purpose, excess healthy animals that are euthanized, and all animals euthanized at the end of the study (when reaching scientific endpoints) should not be included in mortality.

***Note to anyone receiving this report:** If this incident checks any boxes on the table above, please check CCAC RAWI guidelines for next steps.