LETTER OF UNDERSTANDING

BETWEEN

THE UNIVERSITY OF WINNIPEG (the Employer)

- and -

THE UNIVERSITY OF WINNIPEG FACULTY ASSOCIATION (RAS: MLB-6362) (the UWFA)

RE: Conflict of Interest and Reasonable Apprehension of Bias, and Departmental Personnel Committee (DPC), and Tenure/ Promotion and Continuing Appointment Committee (TPCAC) Members

WHEREAS the parties agreed to new tenure and promotion procedures pursuant to a letter of agreement re: AMENDMENTS TO PROMOTION AND TENURE PROCEDURES dated December 16 2013; and

WHEREAS Clause 8 of that letter states that the Dean shall ensure that the process is fair with respect to conflicts of interest and reasonable apprehension of bias; and

WHEREAS when a Member applies for Tenure and Promotion, Continuing Appointment, or Promotion, Clause 6 of the letter requires that the TPCAC shall be comprised of three (3) Department Members or where necessary, a closely related discipline; and

WHEREAS the selected Department Members provide a proximate peer group perspective of the applicant's performance and may be closely acquainted and involved with the work product and performance of the applicant prior to the striking of a TPCAC; and

WHEREAS some Members engage in collaborative research with multiple Members from their own Department; and

WHEREAS the Employer supports and encourages collaborative research; and

WHEREAS the parties wish to establish guidelines for determining whether a conflict of interest and/or reasonable apprehension of bias exists;

THEREFORE the Parties agree as follows:

1. When determining whether or not a conflict of interest exists, The University of Winnipeg Conflict of Interest Policy and the relevant and in force RAS Collective Agreement provisions shall normally apply.

- Absent other evidence, co-authorship and collaboration with the applicant for
 professional outputs and publication shall not normally amount to a conflict of
 interest or reasonable apprehension of bias with respect to Department Members
 who serve on the TPCAC. Each case shall be examined based on its particular
 circumstances.
- 3. Notwithstanding paragraph 2, a Department Member shall not participate in a TPCAC for an applicant, when the Dean is satisfied that the Member:
 - a. has engaged in prior co-authorship and collaboration on a substantial quantum of the material presented for the deliberations of the TPCAC; or
 - b. is involved in current and on-going collaboration or co-authorship with the applicant for a grant competition or other contract expected to result in monetary compensation.

DATED this <u>30</u> day of September, 2015.

FOR THE EMPLOYER

FOR THE

UNIVERSITY OF WINNIPEG FACULTY

ASSOCIATION

Neil Besner

Provost and Vice-President, Academic

Richard Jochelson

UWFA President

Cc. L. Repski